

11-26-03

Docket No: AM100305
Patent

1624

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: Anita W-Y. Chan
Application No.: 10/016,229 Group Art No.: 1624
Filed: November 2, 2001 Examiner: B. Coleman
For: PROCESS FOR THE PREPARATION OF 1, 2, 3, 4, 8, 9, 10, 10a-
OCTAHYDRO-7bH-CYCLOPENTA[b][1, 4]DIAZEPINO- [6, 7, 1-hi]
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I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EU947815626US addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

November 25, 2003
Date

Paula L. Dickey
Paula L. Dickey

2. Identification of Time of Filing

This Information Disclosure Statement

- a. ☐ is filed within three months of the filing date of the application.
- b. ☒ is filed before the mailing date of a first Office Action on the merits.
- c. ☐ is filed before the mailing date of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- d. ☐ is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the mailing date of a final action under 37 CFR 1.311. This statement includes a certification under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p).
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The undersigned attorney certifies

- a. ☐ that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
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A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed, except that if this patent application was filed or entered the national stage after June 30, 2003, no copy of a U.S. patent or U.S. patent application publication is enclosed.

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No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120.

Prior application is Application Number _____, filed on _____ of _____ for _____

☐ Concise Explanation

Documents cited above which are not in the English Language

- a. ☐ have been explained in the specification.
b. ☐ have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed.

Form PTO-1449 is enclosed in duplicate.

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☐ Fee for filing under 37 CFR 1.97(c) or (d) Fee: \$180.00

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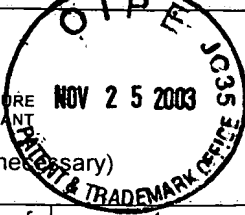
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			Application Number	10/016,229	Filing Date	November 2, 2001	First Named Inventor	Anita W-Y. Chan	Group Art Unit	1624	Examiner Name	B. Coleman
Sheet	1	of	1									

U.S. PATENT DOCUMENTS						
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